

# Chapter 1

## Cobordism group $\Omega_n$

Two closed orientable  $n$ -manifolds  $M$  and  $N$  are considered to be the same modulo the cobordism relation if their disjoint union is the boundary of another manifold. That's why studying cobordism theory may be a good way to classify manifolds, and by asking what this has to do with the homology of manifolds. In this project we will study the case of three-dimensional manifolds but before that we will talk about the cases  $n = 0, 1, 2$ .

The main idea in this presentation is to show every closed orientable 3-manifold is the boundary of some orientable 4-manifold by using Dehn surgery on the 3-sphere.

### 1.1 Preliminaries

**Definition 1.1.1.** An  $n$ -manifold  $M$  will be defined to be a metric space which may be covered by open sets, each of which is homeomorphic with  $\mathbb{R}^n$  or the half-space  $\mathbb{R}_+ \times \mathbb{R}^{n-1}$ .

$M$  is said to be closed if it is compact and  $\partial M = \emptyset$ .

**Definition 1.1.2.** A handlebody of genus  $g$  is the result of attaching  $g$  disjoint 1-handles  $D^2 \times [-1, 1]$  to a 3-ball  $B^3$  by sewing the parts  $D^2 \times \pm 1$  to  $2g$  disjoint disks on the boundary of  $B^3$  in such a way that the result is an orientable 3-manifold with boundary.

**Remark 1.1.1.** Two handlebodies of the same genus are homeomorphic. The boundary of a handlebody of genus  $g$  is a closed orientable 2-manifold of the same genus. (see Rolfsen page 239).

**Definition 1.1.3.** A solid torus  $L$  is a space homeomorphic with  $S^1 \times D^2$ . A framing is a specified homeomorphism  $f : S^1 \times D^2 \rightarrow L$ . By meridian

we mean a simple closed curve  $\alpha = f(1 \times \partial D^2)$  and by longitude  $\beta = f(S^1 \times 1)$ .

**Definition 1.1.4.** Let  $L$  and  $L'$  be handlebodies of the same genus,  $g$ , and let  $f : \partial L' \rightarrow \partial L$  be a homeomorphism. Let  $M = L \cup_f L'$ ,  $M$  is a closed orientable 3-manifold and the triple  $(L, L', f)$  is called Heegaard diagram or Heegaard splitting of genus  $g$  for  $M$ .

**Theorem 1.1.1.** Every closed orientable connected 3-manifold has a Heegaard diagram, and hence a well-defined genus.

**Proof.** See Rolfsen's book pages 240-241. ■

**Definition 1.1.5.** Let  $M$  be a three dimensional manifold (with boundary) such that there is:

1. a link  $L = K_1 \sqcup \dots \sqcup K_s$  of simple closed curves in the interior of  $M$ ,
2. disjoint tubular neighborhood  $H_i$  of  $K_i$  in the interior of  $M$ ,
3. a specified simple closed curve  $\gamma_i$  in  $\partial H_i$  For all  $i$ .

Let

$$M' = M - (\mathring{H}_1 \sqcup \dots \sqcup \mathring{H}_s) \bigcup_f (H_1 \sqcup \dots \sqcup H_s).$$

where  $f$  is a union of homeomorphisms  $f_i : \partial H_i \rightarrow \partial H_i$ , each of which take a meridian curve  $\alpha$  of  $H_i$  onto the specified  $\gamma_i$ . The 3-manifold  $M'$  is said to be the result of a Dehn surgery on along the link  $L$  with surgery instructions (2) and (3).

**Example 1.1.1.** Let  $M = \mathbb{R}^3$  or  $\mathbb{S}^3$ .

Let  $L = K_1 \sqcup \dots \sqcup K_s$  be an oriented link of simple closed curves in  $\mathbb{R}^3$ . then each component  $K_i$  has a preferred framing for a tubular neighborhood  $H_i$  in which the longitude  $\beta_i$  is oriented in the same way as  $K_i$  and the meridian  $\alpha_i$  has linking number  $\pm 1$  with  $K_i$ . Therefore, we may write the curve  $\gamma_i$  in terms of the basis:

$$f_*(\alpha_i) = p_i \beta_i + q_i \alpha_i$$

with ambiguity of a  $\pm$  depending on how one wishes to orient  $J_i$ . We have that  $q_i = lk(K_i, \gamma_i)$ . The ambiguity disappears if we take the ratio,

$$r_i = q_i/p_i.$$

The  $r_i$ 's are called surgery coefficients associated with the component  $K_i$ . If  $p_i = 0$  then  $q_i = \pm 1$  and we write  $r_i = \infty$ .

**Theorem 1.1.2.** Dehn surgery with coefficients  $\pm 1$  on the sphere  $\mathbb{S}^3$  may be view as the result on the boundary of attaching 2-handles to the 4-ball.

**Proof.** See Rolfsen pages 261. ■

## 1.2 Cobordism

Given an oriented manifold  $M$ , we will denote by  $-M$  the manifold that has the same underlying topological and smooth structure as  $M$ , but with the opposite orientation. By  $\partial M$ , we mean the boundary of  $M$  with the induced orientation. By  $M + N$ , we mean the disjoint union of  $M$  and  $N$ ; by  $M - N$ , we mean  $M \sqcup (-N)$ . By  $M = N$ , we mean that  $M$  is isomorphic to  $N$  as oriented manifolds. What we are studying is the equivalence relation of cobordism:

**Definition 1.2.1.** *Two closed orientable  $n$ -manifolds  $M$  and  $N$  are cobordant if there exists a compact  $(n + 1)$ -manifold with boundary  $W$  such that  $\partial W = M - N$ . We might sometimes write this as  $M \sim N$ .*

**Remark 1.2.1.** *This is an equivalence relation. Endeed, It is reflexive since  $M - M$  is the boundary of  $M \times [0, 1]$  as Mark did in the class. It is also symmetric: if  $\partial W = M - N$ , then  $\partial(-W) = N - M$ . Finally, we check transitivity. Assume  $\partial V = L - M$  and  $\partial W = M - N$ . Using the collar neighborhood theorem1 , we can define a new manifold  $X$  by gluing together the  $-M$  component of  $\partial V$  and the  $M$  component of  $\partial W$ . We would then have  $\partial X = L - N$ .*

We can now define the oriented cobordism groups:

**Definition 1.2.2.** *The  $n$ -th orientable cobordism group  $\Omega_n$  is the set of closed  $n$ -dimensional manifolds together with the group operation  $+$  (i.e., disjoint union), modulo the equivalence relation of cobordism.*

*To simplify things, we will think of the empty set  $\emptyset$  as being an  $n$ -manifold for every  $n$ . This allows us to set the identity element in  $\Omega_n$  to be the equivalence class of  $\emptyset$ . Our notation suggests a natural choice: the inverse of  $M$  should be  $-M$ . And this is indeed the case:  $M - M$ , as we already mentioned, is the boundary of  $M \times [0, 1]$ , hence is cobordant to  $\emptyset$ . Note that any manifold  $M$  is the boundary of  $M \times [0, \infty)$ . This is why we should only look at compact manifolds, we would otherwise be studying a completely trivial theory. Since disjoint union is commutative operation,  $\Omega_n$  is an abelian group. Let us take a look for low dimensional e.g  $n = 0, 1, 2$ , and 3:*

1. *For  $n = 0$ , a closed orientable 0-manifolds is a finite collection of signed ponits, and that the difference in number between the positive and the negative points determine the cobardism class of manifold. Since a positive point and negative point is the boundary of  $[0, 1]$ , then  $\Omega_0 = \mathbb{Z}$ .*
2. *For  $n = 1$ ,  $S^1$  is the only closed orientable connected  $n$ -manifold, and it is the boundary of the disk  $D^2$ .*

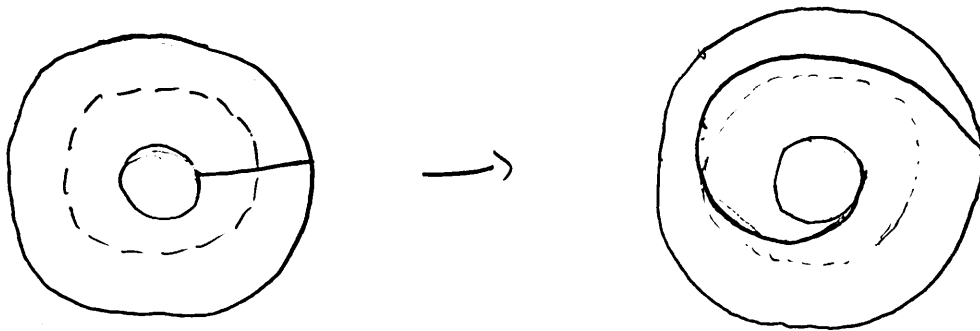
3. For  $n = 2$ , as Mark did in the class, the set of closed orientable manifolds are, the sphere, torus with one hole, two holes,... And this is the boundary of a 3-manifold.
4. The case  $n = 3$  is more harder, and will be studied in the next section.

### 1.3 The cobordism group $\Omega_3$

**Theorem 1.3.1.** *Every closed, orientable 3-manifold is the boundary of some orientable 4-manifold.*

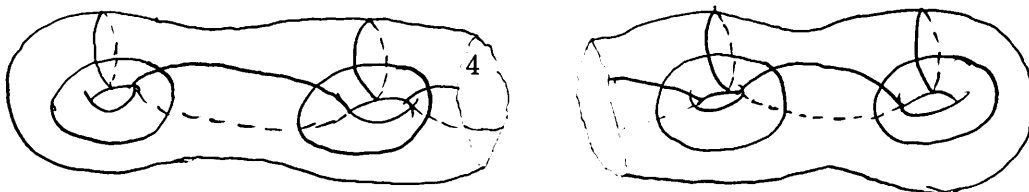
Before to proof this theorem, we will state and proof some lemmas and theorems which will be useful for the proof.

**Definition 1.3.1.** *Let  $S$  be a 2-manifold and  $h : S \rightarrow S$  a homeomorphism.  $h$  is said to be a twist homeomorphism along a curve  $\alpha$  on  $S$  if  $h = \text{identity}$  outside an annular neighborhood of  $\alpha$  and inside the neighborhood it looks like:  
(see figure).*



**Theorem 1.3.2.** *Let  $S$  be a closed orientable surface of genus  $g$ . Then every orientation-preserving homeomorphism of  $S$  is isotopic to a product of twist homeomorphisms along the  $3g - 1$  curves pictured.  
(see figure)*

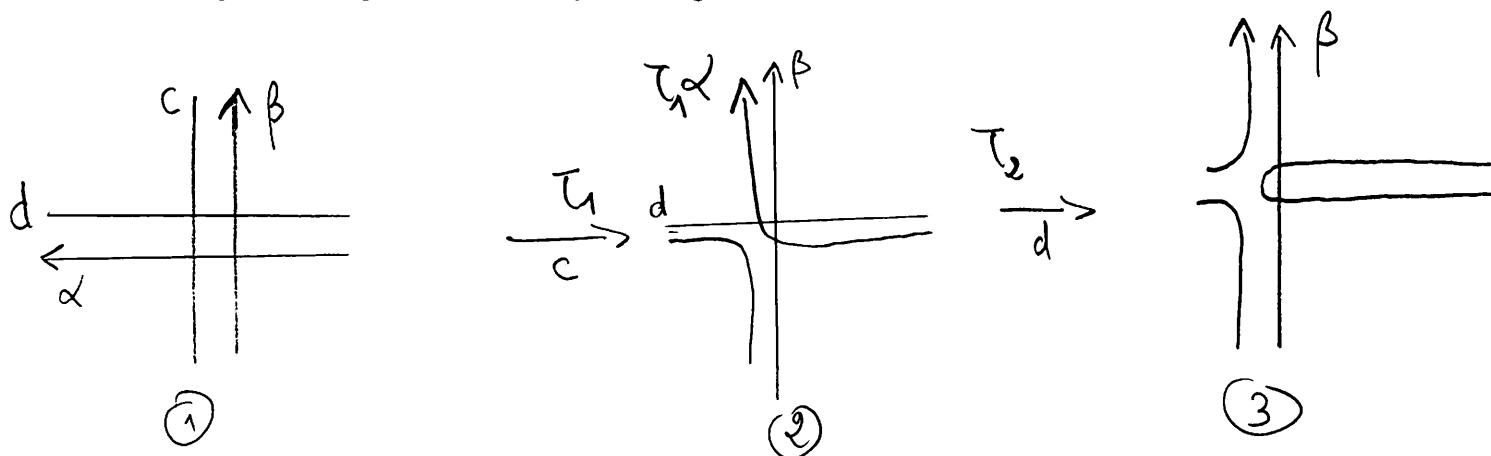
The proof of this theorem will be split has lemma.



**Definition 1.3.2.** We say that oriented simple closed curves  $\alpha$  and  $\beta$  contained in the interior of the surface  $S$  are called *twist-equivalent*, written  $\alpha \sim \beta$ , if  $h\alpha = \beta$  for some homeomorphism  $h$  of  $S$  that is in the group of homeomorphisms generated by all twists of  $S$  (which includes homeomorphisms isotopic to the identity).

**Lemma 1.3.1.** Let  $\alpha$  and  $\beta$  oriented simple closed curves contained in the interior of the surface  $S$ , intersect transversely at precisely one point. Then  $\alpha \sim \beta$ .

**Proof.** As shown in the pictures, the first diagram of Figures shows the intersection point of  $\alpha$  and  $\beta$  and also a simple closed curve  $c$  that runs parallel to, and is slightly displaced from,  $\beta$ . Similarly,  $d$  is a slightly displaced copy of  $\alpha$ . The second diagram shows  $\tau_1\alpha$ , where  $\tau_1$  is a twist about  $c$ . The third diagram shows  $\tau_2\tau_1\alpha$ , where  $\tau_2$  is a twist about  $d$ . In this diagram  $\tau_2\tau_1\alpha$  has a doubled-back portion, but we can move that by a homeomorphism isotopic to the identity to change  $\tau_2\tau_1\alpha$  to  $\beta$ . ■



**Lemma 1.3.2.** Let  $\alpha$  and  $\beta$  oriented simple closed curves contained in the interior of the surface  $S$ . Suppose that  $\alpha$  and  $\beta$  are disjoint and that neither separates  $S$ . Then  $\alpha \sim \beta$ .

**Proof.** Let  $F$  be the surface by cutting  $S$  along  $\alpha \cup \beta$ . There is a simple closed curve  $\gamma$  in  $F$  that intersects each of  $\alpha$  and  $\beta$  transversely at one point. Then, by the previous lemma,  $\alpha \sim \gamma$  and  $\gamma \sim \beta$ . Therefore  $\alpha \sim \beta$ . ■

**Lemma 1.3.3.** Let  $\alpha$  and  $\beta$  oriented simple closed curves contained in the interior of the surface  $S$ , and that neither separates  $S$ . Then  $\alpha \sim \beta$ .

**Proof.** The proof of this lemma can be done by using the two first lemmas. For more detail see the Lickorish book pages 126-127.

■

**Corollary 1.3.1.** *Let  $\alpha_1, \dots, \alpha_n$  be disjoint simple closed curves in the interior of  $S$  the union of which does not separate  $S$ . Let  $\beta_1, \dots, \beta_n$  be disjoint simple closed curves in the interior of  $S$  the union of which does not separate  $S$ . Then there is a homeomorphism  $h$  of  $S$  that is in the group generated by twists, so that  $h\alpha_j = \beta_j$  for each  $j = 1, \dots, n$ ,*

**Proof.** We going to show this by induction. By the previous lemma, there is a twist homeomorphism  $\tau_1$  which send  $\alpha_1$  to  $\beta_1$ . Now, assume there is homeomorphism  $f$  which send  $\alpha_1, \dots, \alpha_{n-1}$  to  $\beta_1, \dots, \beta_{n-1}$ . Again using the previous lemma, there is a twist homeomorphism  $\tau_n$  which send  $\alpha_n$  to  $\beta_n$ . This  $h = \tau_n \circ f$ .

■ This complete the proof of the Lickorish theorem.

**Lemma 1.3.4.** *Let  $L$  and  $L'$  are handlebodies of the same genus and  $f : \partial L \rightarrow \partial L'$  be any homeomorphism. Then there exist disjoint solid tori  $H_1, \dots, H_s$  in  $L$  and  $H'_1, \dots, H'_s$  in  $L'$  such that  $f$  extends to a homeomorphism  $\bar{f} : L - (\mathring{H}_1 \sqcup \dots \sqcup \mathring{H}_s) \rightarrow L' - (\mathring{H}'_1 \sqcup \dots \sqcup \mathring{H}'_s)$ .*

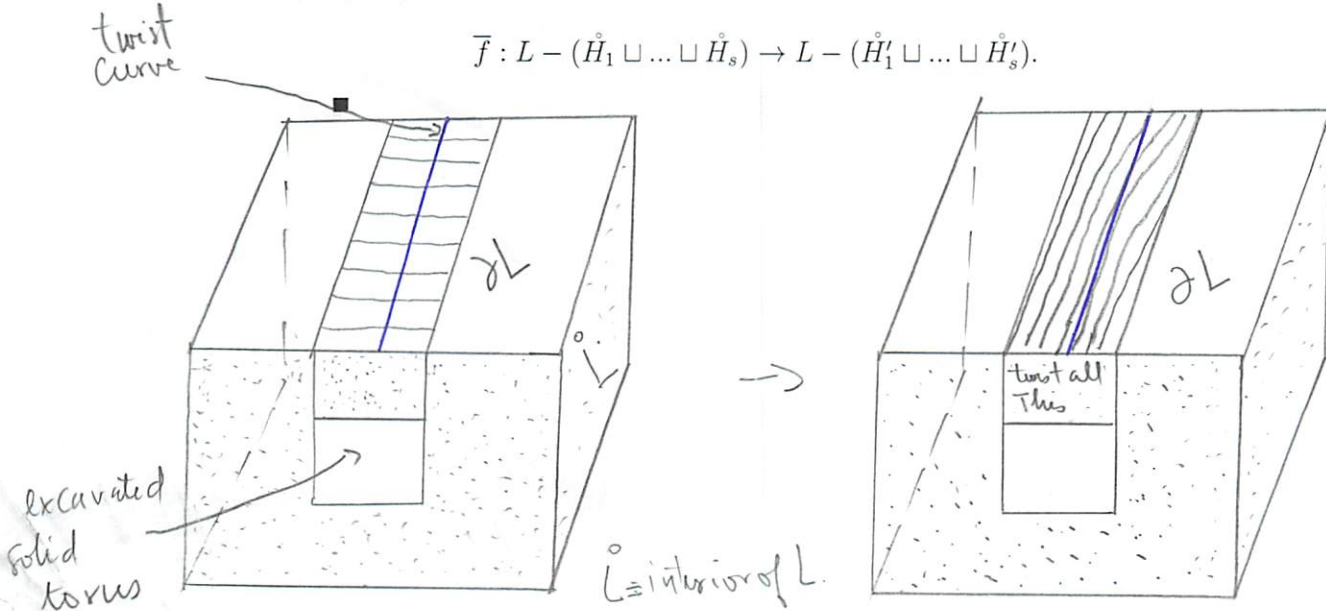
**Proof.** Since  $L$  and  $L'$  have same genus, then they are homeomorphic, therefore we may assume  $L = L'$ , and  $f : \partial L \rightarrow \partial L$  preserves orientation. We know that any homeomorphism of  $\partial L$  which is isotopic to the identity can be extends to a homeomorphism of all  $L$  to it self, by moving only a collar of the boundary. So by the the previous theorem we can write  $f = g_1, \dots, g_s$ , a composition of twists a long some or all  $3g - 1$  curves. We have that  $g_1$  is the identity off an annular neighborhood  $V$  of its twisting curve in  $\partial L$ . Consider a tunnel excavated from  $L$  just under this annulus, within a collar of  $\partial L$  (see figure). This tunnel is a solid torus, call it  $H_1$ . The region between  $H_1$  and  $V$  is a copy of  $V \times [0, 1]$ , which may be twisted by  $g_1 \times id$ . Therefore  $g_1$  can be extends by this map, together with the identity elsewhere on  $L - \mathring{H}_1$ . Let call this extension  $\bar{g}_1 : L - \mathring{H}_1 \rightarrow L - \mathring{H}_1$ . Similarly  $g_2$  may be extended to a homeomorphism  $\bar{g}_2 : L - \mathring{H}_2 \rightarrow L - \mathring{H}_2$ .

By excavated slightly deeper than before, if necessary, it can be arrange that  $H_2$  missed  $H_1$  and that  $\bar{g}_1$  is the identity on  $H_2$ . Inductively, we define in this way a collection of disjoint solid torus tunnels  $H_1, \dots, H_s$  and extensions  $\bar{g}_i : L - \mathring{H}_i \rightarrow L - \mathring{H}_i$  so that  $\bar{g}_i$  is fixed on  $H_j$  for  $i < j$ .

Let  $\bar{f}$  be the compositions  $\bar{f} = \bar{g}_s \dots \bar{g}_2 \bar{g}_1$ , restricted to  $L - (\mathring{H}_1, \dots, \mathring{H}_s)$ . The solid tori to be deleted to get the range of  $\bar{f}$  are  $H'_s = H_s$  and  $H'_i =$

$g_s \dots g_{i+1}(H_i)$  for  $i < s$ . Finally we get,

$$\bar{f} : L - (\hat{H}_1 \sqcup \dots \sqcup \hat{H}_s) \rightarrow L - (\hat{H}'_1 \sqcup \dots \sqcup \hat{H}'_s).$$



**Lemma 1.3.5.** *Every closed orientable, connected 3-manifold may be obtained by Surgery on a link in  $\mathbb{S}^3$ . Moreover, one may always find such a Surgery presentation in which the Surgery coefficients are all  $\pm 1$  and the individual components of the link are unknotted.*

**Proof.** Let  $M$  be a closed, connected, orientable 3-manifold. By theorem 1.2, one can choose Heegaard decomposition of the same genus:

$$\mathbb{S}^3 = L \sqcup_f L'$$

and

$$M = N \sqcup_{f'} N'$$

where  $f : \partial L' \rightarrow \partial L$   $f' : \partial N' \rightarrow \partial N$  are homeomorphisms attaching the handlebodies. Since handlebodies of the same genus are homeomorphic, let  $g : L \rightarrow N$  be a homeomorphism. We have,

$$h = (f')^{-1} \circ g \circ f : \partial L' \rightarrow \partial N'$$

is a homeomorphism. Therefore, by the lemma 3.1  $h$  can be extends to a homeomorphism

$$\bar{h} : L' - (\hat{H}_1 \sqcup \dots \sqcup \hat{H}_s) \rightarrow N' - (\hat{H}'_1 \sqcup \dots \sqcup \hat{H}'_s)$$

where the  $H_i$ 's and  $H_j$ 's are disjoint solid tori.  
This homeomorphism extends to a homeomorphism

$$\bar{h} : \mathbb{S}^3 - (\mathring{H}_1 \sqcup \dots \sqcup \mathring{H}_s) \rightarrow M - (\mathring{H}'_1 \sqcup \dots \sqcup \mathring{H}'_s).$$

In the from of the Lemme 3.1, we have seen that  $h'$  carries  $\partial H_i$  to  $\partial H'_i$  for all  $i$  and that the preimage of a meridian of  $H'_i$  is a meridian  $\pm$  longitude of  $H_i$ . Thus,  $M$  is the result of a surgery of  $\mathbb{S}^3$  with coefficients  $\pm 1$  on the solid tori  $H_1, \dots, H_s$ .

■

**Proof.** (theorem 3.1) Without loss of generality we can assume  $M$  is connected. By the Lemma 3.2  $M$  is the result of a surgery of  $\mathbb{S}^3$  with coefficients  $\pm 1$ , and by the theorem 1.3 this is the result on the boundary of attaching 2-handles to the 4-ball. ■

## 1.4 Conclusion

$\Omega_3 = 0$ . This is perhaps the nicest and most direct proof but there were previous proofs, Rochlin, Thom.